



**BORSA
İSTANBUL**

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	From	Precious Metals and Diamonds Market Operations Directorate
	To	Precious Metal Market Members, Refineries Established in Turkey and Independent Auditing Institutions

To Whom It May Concern,

The procedures and principles regarding the compliance of precious metals intermediary institutions operating in the Precious Metals Market and the refineries established in Turkey included in the Refinery List have been put into practice with the directives published on 05/03/2021. Details regarding the implementation of these regulations are also included in the Precious Metals Market Procedure updated on 27/09/2021.

As stated in the Procedure, “Obligors have to carry out training activities under the supervision and coordination of an internal control and supply chain compliance officer in accordance with the size of the institution, business volumes and changing conditions”. Based on mentioned statement, Borsa İstanbul informs the internal control and supply chain compliance officers of the obliged parties and the audit staff that are notified to the Borsa from the institutions included in the Independent Audit Institutions List published by Borsa İstanbul in order for obliged parties to provide training to their personnel in a way to include the subjects specified in Article 17 of the Directive on Internal Control System and Compliance Principles. Two free and identical trainings will be organized online for the personnel on 08/12/2021 and 16/12/2021. The online training link and password will be shared with the participants after registration.

The trainings to be organized will consist of two separate sessions, namely 9.00-12.00 in the morning and 13.30-16.30 in the afternoon. In the morning sessions, the responsible supply chain internal control and compliance officers will be trained, and in the afternoon trainings, the personnel of the Independent Audit Institutions will be trained. Responsible Supply Chain internal control and compliance officers are required to attend the trainings. Day and hour requests for training will be collected at kmktm_info@borsaistanbul.com. In addition, you can contribute to the topics to be covered during the training by sending your questions regarding Responsible Supply Chain regulations to the relevant e-mail address.

Planned training topics are listed below:

1. General Information on Responsible Supply Chain
2. Overview of Related Regulations of Borsa İstanbul
3. Borsa İstanbul A.Ş. Responsible Supply Chain Compliance Directive
4. Five-Step Approach for Obligors
5. Borsa İstanbul A.Ş. Directive on Internal Control System and Compliance Principles of Precious Metals Intermediary Institutions and Refineries
6. Borsa İstanbul A.Ş. Responsible Procurement Assurance Audit Directive
7. Responsible Supply Chain obligations of the liable parties and the powers of the Exchange
8. Situations for Sanctions
9. Notifications Processes
10. Responsible Supply Chain Case Studies

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In addition, we remind you that the information and statistics related to the training dates regarding the trainings that our obliged parties will give to their personnel as a result of the training, including the regions or provinces where training is given, the training method, the total training hours, the number of personnel trained and its ratio to the total number of personnel, the distribution of the personnel trained according to their units and titles, the content of the training should be reported by the internal control and supply chain compliance officer to the Ministry and to Borsa İstanbul by the end of March of the following year via the Borsa İstanbul Responsible Supply Chain Training Information Form included in the KASA application

Kindly submitted to your information.
Yours Sincerely,

Korkmaz ERGUN
General Manager
Board of Director Member